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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
on March 10, 2004

Date of Deposit

Amir N. Penn (40,767)

Name of applicant, assignee or  
Registered Representative

Signature

March 10, 2004

Date of Signature

RECEIVED  
MAR 18 2004  
TECHNOLOGY CENTER R3700

Our Case No. 7103/205 (P0707)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Li et al.

Serial No. 09/938,056

Filing Date: August 23, 2001.

For MULTI-STEP POLISHING SYSTEM  
AND PROCESS OF USING SAME

)  
)  
)  
) Examiner: George Nguyen  
)  
) Group Art Unit No.: 3723  
)  
)  
)

### RESPONSE TO OFFICE ACTION DATED DECEMBER 18, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants file the enclosed response to the Office Action dated December 18, 2003 as  
follows:

**Listing of the Claims** begins on page 2 of this paper.

**Remarks** begin on page 5 of this paper.

3723



TRANSMITTAL LETTER			Case No. 7103-205 (P0707)
Serial No. 09/938,056	Filing Date August 23, 2001	Examiner George Nguyen	Group Art Unit 3723
Inventor(s) Li, et al.			
Title of Invention MULTI-STEP POLISHING SYSTEM AND PROCESS OF USING SAME			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith are: Transmittal Letter (in duplicate); Response to Office Action dated 12/18/03; and Return Postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

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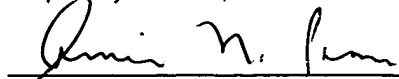
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total	11	Minus	20	0
Indep.	3	Minus	5	0
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9=	
x 43=	
+ \$145=	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18=	
x \$86=	
+ \$290=	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
 Amir N. Penn  
 Registration No. 40,767  
 Attorney for Applicant  
 Customer No. 00757 - Brinks, Hofer, Gilson & Lione

BRINKS HOFER GILSON & LIONE  
 P.O. BOX 10395  
 CHICAGO, ILLINOIS 60610  
 (312) 321-4200

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 Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 10, 2004.

Date: March 10, 2004 Signature: 